



**U.S. AGENCY FOR INTERNATIONAL DEVELOPMENT**

**ANNOUNCEMENT**

**CALL FOR PARTNERSHIP CONCEPT PAPERS FOR DIASPORA ENGAGEMENT TO  
REBUILD LIVELIHOODS AFTER TYPHOON HAIYAN (YOLANDA) IN THE  
PHILIPPINES**

**UNDER EXISTING**

**GLOBAL DEVELOPMENT ALLIANCE ANNUAL PROGRAM STATEMENT  
APS No.: APS-OAA-14-000001**

**PLEASE NOTE: This is an addendum to an existing announcement. All interested organizations should carefully review both this addendum AND the full announcement, which can be found here: <http://www.usaid.gov/work-usaid/get-grant-or-contract/opportunities-funding/global-development-alliance-annual-program>. Important information contained in the full worldwide announcement is not repeated in this specific addendum.**

This program is authorized in accordance with Part 1 of the Foreign Assistance act of 1961, as amended.

Through this Addendum to the FY2014 Global Development Alliance (GDA) Annual Program Statement (APS) No. APS-OAA-14-000001 (the GDA APS), USAID/Philippines is making a special call for the submission of Concept Papers focused on Diaspora Engagement to Rebuild Livelihoods after Typhoon Haiyan/Yolanda in the Philippines.

The specific objective(s) are to:

- 1) Rebuild livelihoods and support microfinance in communities directly impacted by Typhoon Haiyan/Yolanda.
- 2) Improve the preparedness of vulnerable communities to deal with future natural disasters in the Philippines.

USAID/Philippines recognizes the importance of partnering with the diaspora community to achieve its development objectives. USAID/Philippines welcomes proposals for public-private alliances that mobilize human and financial resources from the diaspora to address post-disaster

development challenges faced by communities directly impacted by Typhoon Haiyan/Yolanda. As stated in APS-OAA-14-000001 USAID expects to receive alliance proposals that leverage private sector resources at a ratio of at least 1:1. USAID/Philippines **may** allocate **up to** \$2 million over the course of the next fiscal year to fund a portfolio of partnerships, with funding for individual partnership proposals estimated in the range of \$250,000 to \$1 million. Ideally, the portfolio will enable USAID to ensure that each of the above objectives is advanced. However, priority will be placed on supporting the most promising approaches, even if the resulting portfolio only advances one or two of the objectives described above. The estimated project life for each award is 12 to 24 months. USAID reserves the right to not issue any awards if proposals do not leverage private sector resources at an amount that at least equals and preferably exceeds the amount requested from USAID.

Unless otherwise stated herein, all terms and conditions of the GDA APS FY2014 apply (<http://www.usaid.gov/work-usaid/get-grant-or-contract/opportunities-funding/global-development-alliance-annual-program>).<sup>1</sup>

## **I. Background**

Since Typhoon Haiyan/Yolanda made landfall in the central Philippines, the Government of the Philippines (GPH) has reported more than 6,000 confirmed deaths, over 4 million people displaced, and an estimated 16 million people affected. To date, the USG has committed more than \$87 million—including \$56 million from USAID—in humanitarian assistance, with the USAID response being led by the Office of U.S. Foreign Disaster Assistance and coordinated with the Office of Food for Peace, USAID's Asia Bureau and USAID/Philippines. As assistance transfers from emergency to recovery, USAID recognizes the Filipino diaspora community can play an important role in the ongoing relief and rebuilding efforts.

With an estimated 11 million Filipinos working and residing overseas, the Filipino diaspora comprises approximately 11% of the total overall Philippine population of 98.7 million people. The Filipino diaspora is distributed amongst many countries with the largest diaspora community based in the United States with over 4 million people followed by Saudi Arabia (1.6 million residents) and Canada (approximately 667,000 residents). An overseas Filipino is a person of Filipino origin who lives outside of the Philippines. There are two main subcategories of overseas Filipinos: Overseas Filipino Workers (OFWs), which are Filipinos working abroad that are expected to return permanently upon the expiration of a work contract; and *Balikbayans*, Filipinos who have become citizens of another country but return back home for visits. The Republic of the Philippines has implemented a very deliberate emigration policy administered through its Commission on Filipinos Overseas that provides a range of services from job recruitment, pre-departure orientation and counseling services to safeguarding Filipino worker rights abroad.

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<sup>1</sup> As stated in APS No. APS-OAA-14-000001, the partnerships and alliances proposed in any Concept Paper should mobilize and leverage private sector resources at a minimum of 1:1. Proposed alliances that do not mobilize and leverage private sector resources at a value that equals or exceeds the level of funding being requested from USAID will not be considered under this announcement.

## II. Solicitation

Under this announcement, USAID/Philippines seeks to develop new and innovative public-private alliances involving the Filipino American community and other stakeholders, including local and multinational corporations, foundations, NGOs, academic institutions and other organizations, that are committed to rebuilding communities in the Philippines. Based on USAID's Diaspora Network Alliance framework ([http://www.usaid.gov/sites/default/files/documents/1880/DNA\\_Framework\\_\(revAug2013\).pdf](http://www.usaid.gov/sites/default/files/documents/1880/DNA_Framework_(revAug2013).pdf)), proposed alliances should advance such efforts through:

1. Entrepreneurship: Support of micro, small and medium (MSME) entrepreneurs looking to launch or rebuild business enterprises and livelihoods in areas affected by Typhoon Haiyan/Yolanda.
2. Philanthropy: Channel existing diaspora giving and mobilize additional diaspora resources to support vulnerable communities and improve preparedness to deal with future natural disasters.

Alliances may address either or both of these objectives. The specific objective(s) that the alliance will support must be explicitly stated. USAID will prioritize innovative, cost-effective, private sector driven concepts and proposals.

## III. Evaluation Criteria

Proposed alliances will be evaluated based on the general criteria set forth in the GDA APS in Section VI: Concept Paper Evaluation Criteria and Considerations. As stated in APS No. APS-OAA-14-000001, USAID expects to receive alliance proposals that mobilize and leverage private sector resources at a minimum of 1:1.

Preference will be given to alliances that include private sector partners who demonstrate a commitment to areas directly impacted by Typhoon Haiyan/Yolanda and a recognized business interest in the proposed concept. If USAID requests a full proposal, applicants will be given additional, specific evaluation criteria that speak to the subject matter of the concept.

Proposed alliances should be consistent with USAID legal and policy restrictions, including those set forth in USAID's Automated Directives System (ADS) and in the Foreign Assistance Act of 1961.

## IV. Application Instructions and Review Process

Under the 2014 GDA APS-OAA-14-000001, prospective applicants are required to contact the Mission, Bureau or Independent Office with which they seek to build an alliance **before** they submit a Concept Paper. **HOWEVER, for purposes of this particular addendum, prospective applicants should NOT contact USAID/Philippines but should instead proceed as follows:**

1. Prospective applicants should complete the self-screening form (see Attachment A) as a first step in determining potential eligibility to submit a Concept Paper;
2. Prospective applicants should contact both Joseph Truong at [jotruong@usaid.gov](mailto:jotruong@usaid.gov) and Romi Bhatia at [robhatia@usaid.gov](mailto:robhatia@usaid.gov). These USAID primary Point of Contacts can discuss the extent to which a proposed idea is appropriate and aligns with USAID's goals. Prospective applicants should NOT contact USAID/Philippines; if a prospective applicant contacts USAID/Philippines without being directed to do so by both Joseph Truong and Romi Bhatia, the prospective applicant will no longer be eligible to submit a Concept Paper under this Addendum.
3. Prospective applicants must connect the prospective applicant's private sector partners to Joseph Truong and Romi Bhatia as well. Under the GDA APS, the USAID Point of Contact(s) can have robust and extensive discussions with prospective private sector partners with regard to potential alliance ideas and activities so long as that partner is not seeking to receive and manage award funding from USAID.<sup>2</sup>

**Once applicants have completed the steps above, the applicants are required to follow the Concept Paper instructions set forth in the GDA APS and submit Concept Papers (not to exceed 5 pages) to USAID/Asia Bureau using the [Concept Paper Template](#).** Information provided in Section I.E of that Template should address the objectives and criteria presented in Sections I, II and III of this Addendum.

For additional information regarding guidelines and procedures to submit a concept paper, please refer to the GDA APS, which can be found at the following website:  
<http://idea.usaid.gov/gp/aps>. Additional information on alliances can be found at <http://idea.usaid.gov/organization/gp>.

**The completed Concept Paper Template (including the Concept Paper and required Supporting Information) should be sent to USAID/Asia Bureau through Joseph Truong ([jotruong@usaid.gov](mailto:jotruong@usaid.gov)) with copy to Romi Bhatia, Sr. Advisor for Diaspora Partnerships ([robhatia@usaid.gov](mailto:robhatia@usaid.gov)) and [gda@usaid.gov](mailto:gda@usaid.gov).**

After review by USAID/Asia Bureau and USAID/Philippines, applicants will receive instructions from the USAID Asia Bureau on whether to proceed with a full application.

**Concept papers must be submitted by June 13, 2014 in order to be considered.**

Note: USAID/Philippines will be responsible for the final review process and management of any awards issued under this addendum.

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<sup>2</sup> Note: If the private sector partner is seeking to receive and manage USAID funding, the discussions will need to be more limited. Questions regarding the nature and scope of partner discussions prior to the submission of a concept paper, as well as any questions regarding the terms of the Global Development Alliance Annual Program Statement, can be directed to [gda@usaid.gov](mailto:gda@usaid.gov) or Ken Lee at [kenlee@usaid.gov](mailto:kenlee@usaid.gov).

**ATTACHMENT A**

**U.S. AGENCY FOR INTERNATIONAL DEVELOPMENT  
CALL FOR PARTNERSHIP CONCEPT PAPERS FOR DIASPORA ENGAGEMENT TO  
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**SELF-ASSESSMENT FORM**

Please complete this self-assessment to determine your current capacity:

**1. Is your entity currently registered as a 501c3 or a LLC?**

☐ Yes, we are either a currently registered 501c3 or a LLC.

☐ No

**2. Do you currently have a Data Universal Numbering System (DUNS) number or are in the process of obtaining a DUNS number?**

☐ Yes, we have a DUNS number or are in the process of obtaining a DUNS number.

☐ No

**3. Are you currently registered with the Systems for Award Management (SAM), or are in the process of registering with SAM?**

☐ Yes, we are registered with SAM or are in the process of registering with SAM.

☐ No

**4. (For Tax-exempt, nonprofit organizations): Is your organization currently registered with USAID as a Private Voluntary Organization (PVO)?**

☐ Yes, we are registered with USAID as a PVO.

☐ No

**5. Is your entity in a sound financial position and can provide at least 3 years of financial statement and account for your funds in accordance with generally accepted accounting principles?**

☐ Yes, we are in a sound financial position, can provide at least 3 years of financial statement and can account for funds in accordance with generally accepted accounting principles.

☐ No

**6. Do you currently have a private sector partner or are you a private sector partner whose assets, expertise, cash and in-kind contributions and resources can be leveraged on at least a 1:1 basis with the U.S. Government (preferably more)?**

☐ Yes, we have a private sector partner or are a private sector partner whose assets, expertise, cash and in-kind contributions and resources can be leveraged on at least a 1:1 basis with the U.S. Government

☐ No

**7. Does your concept directly promote either rebuilding livelihoods or microfinance in Leyte Province?**

☐ Yes, our concept paper promotes rebuilding livelihoods in Leyte Province.

☐ Yes, our concept paper promotes microfinance in Leyte Province.

☐ No

If you checked "Yes" on at least 4 of these 7 criteria, you may consider discussing your concept further with USAID to assess its feasibility.

If you checked "Yes" on fewer than 4 of these 7 criteria, we strongly recommend you to reconsider before contacting USAID. For a list of recommended resources, please see:

<http://www.usaid.gov/work-usaid/get-grant-or-contract/trainings-how-work-usaid>

<http://www.usaid.gov/partnership-opportunities/diaspora-engagement>